

## CERTIFICATION REGARDING CORRESPONDENT ACCOUNT FOR FOREIGN BANKS

[OMB CONTROL NUMBER 1506-0043]

*The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States code, as added by section 313 and 319(b) of the USA PATRIOT Act of 2001 ( Public Law 107-56).*

This Certification should be completed by any **foreign bank** that maintains a correspondent account with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605 (e)). **An entity that is not a foreign bank is not required to complete this Certification.**

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definitions at 31 CFR 1010.100 (u)). A bank includes offices, branches, and agencies of commercial bank or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)).<sup>1</sup>

A Correspondent account for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instructions for foreign branches of U.S. bank: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Account with U.S. branches and office of the same U.S. bank.

Special instructions for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

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<sup>1</sup> A "Foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

A. The undersigned financial institution, Santander CACEIS, ("**Foreign Bank**") hereby certifies as follows:

B. Correspondent Accounts covered by this Certifications: Check **one** box.

This Certification applies to **all** account established for Foreign Bank by Covered Financial Institutions.

This Certification applies to correspondent Accounts established by \_\_\_\_\_ (name of Covered financial Institutions(s)) for Foreign Bank.

C. **Physical Presence/Regulated Affiliate Status:** Check **one** box and complete the blanks.

Foreign Banks maintains a physical presence in any country. That means:

- Foreign Bank has a place of business at the following street address: **Calle 93 A N° 13 - 24 Oficina 301**, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
- The above address is in **COLOMBIA** (insert country), where Foreign Bank is authorized to conduct banking activities.
- Foreign Bank is subject to inspection by **Superintendencia Financiera de Colombia**, (insert Banking Authority), the banking authority that licensed Foreign Bank to conduct banking activities.

Foreign Banks does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:

- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: \_\_\_\_\_, where it employs one or more person on a full-time basis and maintains operating records related to its banking activities.
- The above address is in \_\_\_\_\_ (insert country), where the depository institution, credit union, of foreign bank is authorized to conduct banking actives.
- Foreign Bank is subject to supervision by \_\_\_\_\_, (insert Banking Authority), the same banking authority that regulates the depository institution, credit union, or foreign bank.

Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

**D. Indirect Use of Correspondent Accounts:** Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does **not** use any correspondent Account with a Covered financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

**E. Ownership Information:** Check box 1 or 2 below, **if applicable.**

1. **Form FR Y -7 is on file.** Foreign Bank has filed with the federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
2. **Foreign Bank's share are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organizer over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the securities Exchange Act of 1934 ( 15 U.S.C 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete Item 3 below, **if applicable.**

3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, owner means any person who, directly or indirectly, (a) owns, controls, or other voting interest of foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certifications, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interest** means securities or other interest means securities or other interest that entitle the holder to vote for or select directors (or individuals exercising similar function); and (iii) members os the same family <sup>2</sup> shall be considered one **person**.

Name	Address
Credit Agricole S.A.	261 Rue Général Paulet, 29200 Brest, Francia

<sup>2</sup> The same family means parents, spouses, children, sibling, uncles, aunts, grandparents, grandchildren, firs cousins, stepchildren, parents-in-law and spouses of any of foregoing. In determining the ownership interests of the same family, any voting interest of the same family, any voting interest of any family member shall be taken into account.

SANTANDER INVESTMENT, S.A.	Parque Empresarial La Finca Paseo Club Deportivo, 28223 Pozuelo de Alarcón (Madrid).
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**F. Process Agent:** complete the following.

The following individual or entity: Banco Santander S.A, New York Branch, is a resident of the united Sate at the following street address: 45 East 53<sup>rd</sup>. Street New York, NY 10022, and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the united State pursuant to Section 5318 (k) of title 31, United States Code.

**G. General**

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United State. Foreign Bank further understands that the statements contained in this Certifications may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments and agencies governmental functions.

I, Miguel Angel Perilla Tolosa (name of signatory), certify that I have read and understand this Certifications, that the statements made in this Certification are complete a correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

Santander CACEIS.  
[Name of foreign Bank]



Firmado por Miguel Angel Perilla Tolosa el 25/01/2021 a las 22:01:44 COT

[Signature]

Miguel Angel Perilla Tolosa  
[Printed Name]

Chief Compliance Officer-Santander CACEIS

[Title]

January 25, 2021.